

<b>Required Documents - Corporate Account</b> 開立公司帳戶所需文件	Hong Kong Incorporated 香港註冊	Overseas Incorporated 海外註冊
Account Opening Form 開戶表格	Y	Y
Enhanced Customer Due Diligence Questionnaire 進階盡職審查問卷	Y	Y
Risk Profiling Questionnaire 風險取向問卷	Y	Y
Self-Certification Form - Entity (For Corporate Account)	Y	Y
Self-Certification Form - Controlling Person (For Ultimate Beneficial Owners)	Y	Y
W- 8IMY (For Clients Account) / W- 8BEN-E (For Corporate/House Account)	Y	Y
W- 8BEN (For Individual Account)	Y	Y
Certified Extract of Meeting Minutes of the Board of Directors 董事會議記錄	Y	Y
Deed of Guarantee and Indemnity (For Margin Account) 擔保書	Y	Y
Shareholder Structures/Organizational Chart 公司多層擁有權之架構圖	Y	Y
Certificate of Incorporation 公司註冊證書及其後的公司更改名稱證書	Y	Y
Memorandum & Articles of Association 公司章程或規則	Y	Y
Register of Director 董事記錄	Y	Y
Register of Members 股東記錄	Y	Y
Certificate of Incumbency issued within the past 6 Months 職權證明書 6 個月內	N	Y
Valid Business Registration Certificate 有效的商業登記證	Y	N
Designated Hong Kong Bank Account 指定香港銀行帳戶	Y	Y
Address Proof (Company/Directors/Authorized Signatories/Authorized Persons /Beneficial Owners) (公司/董事/授權人/授權簽署人士/最終受益人地址證明副本)	Y	Y
Copies of ID/Passport (Directors/Authorized Signatories/Authorized Persons/ Beneficial Owners) (董事/授權人/授權簽署人士/最終受益人身份證副本)	Y	Y
Latest Annual Return, and subsequent Notification of Change of Secretary and Director (Appointment/Cessation (Form D2A / ND2A), Return of Allotments (Form SCI / NSCI) and/or Instrument of Transfer (if applicable) 最近之週年申報表，所有其後的秘書及董事更改通知書 (委任/離任) (表格 D2A / ND2A) ，及股東分配申請報表 (表格 SCI / NSCI) 及/或轉讓文書詳 列現任股東的資料	Y	N
Audited Financial Report 最新的公司審計報告之認證副本	N	Y

\* Copies of those documents set out above **MUST** be certified as a true copy by a Certified Public Accountant (practicing), Lawyer and Notary Public in a Financial Action Task Force (FATF) member.

以上副本文件必須經由執業的會計師、律師、公證人或香港特許秘書公會的現行會員核證為無誤的副本。\*